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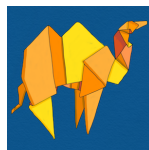
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Continuation Passing Style for Effect Handlers

Formal Structures for Computation and Deduction

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Algebraic effects by example: a drunk coin toss

Consider two effects: **nondeterminism** and **exceptions**

```
drunkToss : Toss ! {Choose : Bool; Fail : Zero}
drunkToss = if do Choose then
             if do Choose then Heads else Tails
             else absurd do Fail
```

Algebraic effects by example: a drunk coin toss

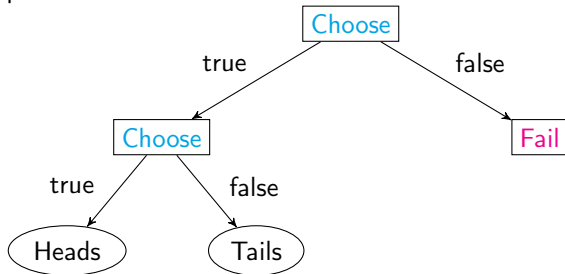
Consider two effects: **nondeterminism** and **exceptions**

`drunkToss : Toss ! {Choose : Bool; Fail : Zero}`

`drunkToss = if do Choose then`

`if do Choose then Heads else Tails`
`else absurd do Fail`

Drunk toss computation tree



Effect handlers by example: modular interpretations

Choose handler

$\text{allChoices} : \alpha ! \{\text{Choose} : \text{Bool}; \rho\} \Rightarrow \text{List } \alpha ! \{\rho\}$

$\text{allChoices} = \text{return } x \mapsto [x]$

$\text{Choose } r \mapsto r \text{ true} ++ r \text{ false}$

Fail handler

$\text{fail} : \alpha ! \{\text{Fail} : \text{Zero}; \rho\} \Rightarrow \text{List } \alpha ! \{\rho\}$

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Two possible interpretations:

handle (handle drunkToss with fail) with allChoices
 $\Rightarrow [[\text{Heads}], [\text{Tails}], []]$

handle (handle drunkToss with allChoices) with fail
 $\Rightarrow []$

Effect handlers by example: modular interpretations

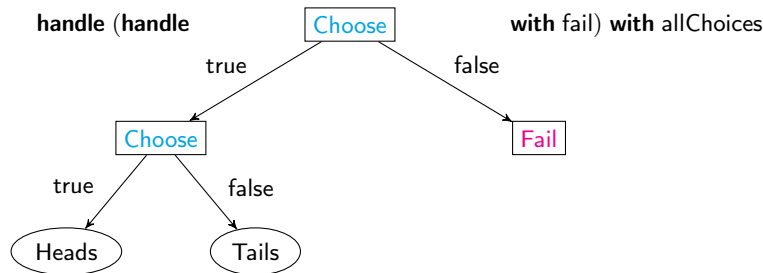
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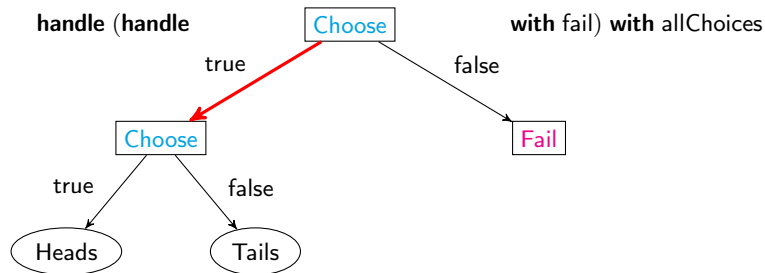
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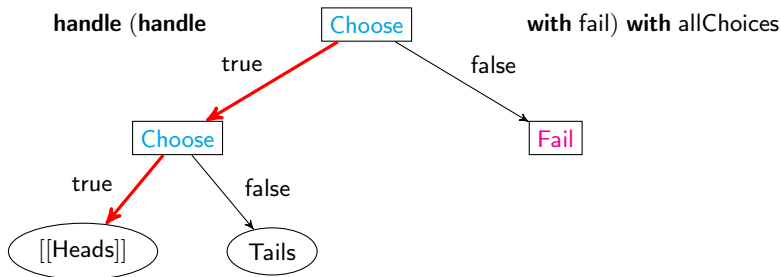
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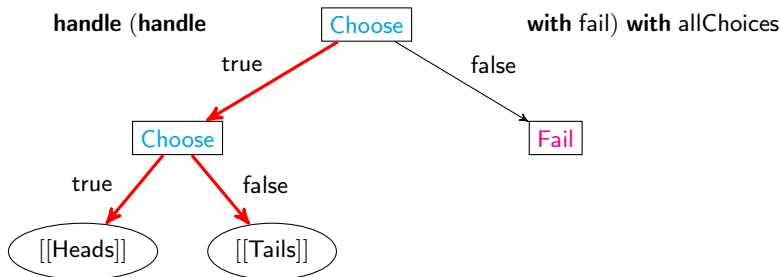
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Effect handlers by example: modular interpretations

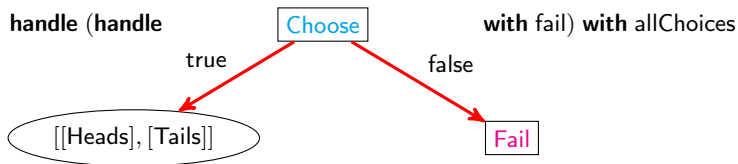
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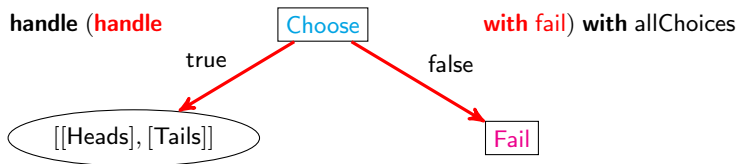
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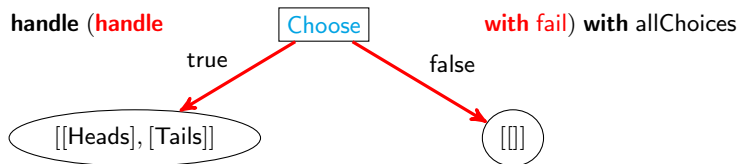
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$[[\text{Heads}], [\text{Tails}], []]$

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Two possible interpretations:

$\text{handle } (\text{handle } \text{drunkToss} \text{ with } \text{allChoices}) \text{ with } \text{fail}$
 $\Rightarrow []$

Effect handlers by example: modular interpretations

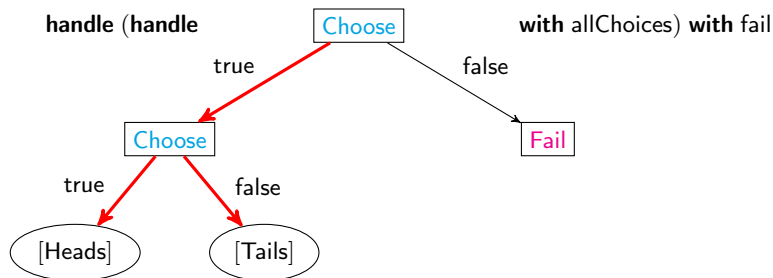
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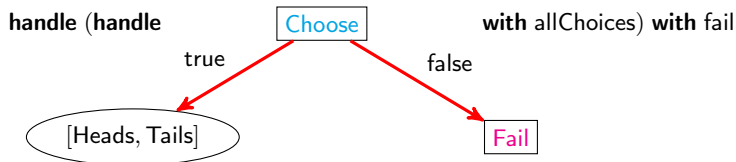
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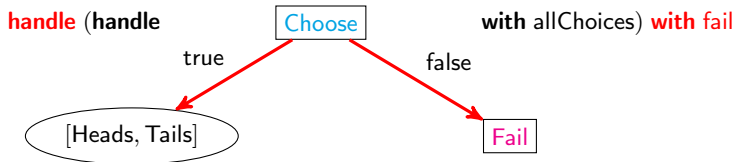
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Two possible interpretations:



Operational semantics for effect handlers

The operational semantics for handlers is fairly simple

handle (return V) **with** $H \rightsquigarrow N[V/x]$,
where $H^{\text{ret}} = \{\text{return } x \mapsto N\}$

handle $\mathcal{E}[\text{do } \ell V]$ **with** $H \rightsquigarrow N[V/p, \lambda y. \text{handle } \mathcal{E}[\text{return } y] \text{ with } H/r]$,
where $\ell \notin BL(\mathcal{E})$ and $H^\ell = \{\ell p r \mapsto N\}$

The set of bound labels, BL , is defined inductively

$$BL([\]) = \emptyset \quad BL(\text{let } x \leftarrow \mathcal{E} \text{ in } N) = BL(\mathcal{E}) \quad BL(\text{handle } \mathcal{E} \text{ with } H) = BL(\mathcal{E}) \cup \text{dom}(H)$$

Evaluation contexts, \mathcal{E} , are standard

$$\mathcal{E} ::= [] \mid \text{let } x \leftarrow \mathcal{E} \text{ in } N \mid \text{handle } \mathcal{E} \text{ with } H$$

Why continuation passing style?

So, effect handlers are great! Now, how do we compile them?

Why continuation passing style (CPS)?

- CPS is good for implementing control flow
- CPS is formulated on a restricted subset of λ -calculus
- The Links compiler (Cooper, Lindley, Wadler, and Yallop 2006)
 - Server-side uses a CEK machine
 - Client-side uses CPS

Source and target calculi

Our source calculus is $\lambda_{\text{eff}}^\rho$ (Hillerström and Lindley 2016).

Values	$V, W ::= x \mid \lambda x. M \mid \langle \rangle \mid \langle \ell = V; W \rangle \mid (\ell V)$
Computations	$M, N ::= V W$ let $\langle \ell = x; y \rangle = V$ in N case $V \{ \ell x \mapsto M; y \mapsto N \}$ absurd V return V let $x \leftarrow M$ in N do ℓV handle M with H
Handlers	$H ::= \{ \text{return } x \mapsto M \} \mid \{ \ell p r \mapsto M \} \uplus H$

Our target is an untyped λ -calculus

Values	$V, W ::= x \mid \lambda x. M \mid \langle \rangle \mid \langle \ell = V; W \rangle \mid \ell V$
Computations	$M, N ::= V \mid M W \mid \text{let } \langle \ell = x; y \rangle = V \text{ in } N$ case $V \{ \ell x \mapsto M; y \mapsto N \}$ absurd V

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Computations $M, N ::= V W$
| **let** $\langle \ell = x; y \rangle = V$ **in** N | **case** $V \{ \ell x \mapsto M; y \mapsto N \}$ | **absurd** V
| **return** V | **let** $x \leftarrow M$ **in** N
| **do** ℓV | **handle** M **with** H

Handlers $H ::= \{\text{return } x \mapsto M\} \mid \{\ell p r \mapsto M\} \uplus H\}$

Our target is an untyped λ -calculus

Values $V, W ::= x \mid \lambda x. M \mid \langle \rangle \mid \langle \ell = V; W \rangle \mid \ell V$

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| **case** $V \{ \ell x \mapsto M; y \mapsto N \}$ | **absurd** V

Curried CPS translation for fine-grain call-by-value

The continuation represents the *execution context*.

Computations

$$\begin{aligned} \llbracket V W \rrbracket &= \llbracket V \rrbracket \llbracket W \rrbracket \\ \llbracket \text{let } \langle \ell = x; y \rangle = V \text{ in } N \rrbracket &= \text{let } \langle \ell = x; y \rangle = \llbracket V \rrbracket \text{ in } \llbracket N \rrbracket \\ \llbracket \text{case } V \{ \ell \ x \mapsto M; y \mapsto N \} \rrbracket &= \text{case } \llbracket V \rrbracket \{ \ell \ x \mapsto \llbracket M \rrbracket; y \mapsto \llbracket N \rrbracket \} \\ \llbracket \text{absurd } V \rrbracket &= \text{absurd } \llbracket V \rrbracket \\ \llbracket \text{return } V \rrbracket &= \lambda k. k \llbracket V \rrbracket \\ \llbracket \text{let } x \leftarrow M \text{ in } N \rrbracket &= \lambda k. \llbracket M \rrbracket (\lambda x. \llbracket N \rrbracket k) \end{aligned}$$

Values

$$\begin{aligned} \llbracket x \rrbracket &= x \\ \llbracket \lambda x. M \rrbracket &= \lambda x. \llbracket M \rrbracket \\ \llbracket \langle \rangle \rrbracket &= \langle \rangle \\ \llbracket \langle \ell = V; W \rangle \rrbracket &= \langle \ell = \llbracket V \rrbracket; \llbracket W \rrbracket \rangle \\ \llbracket \ell V \rrbracket &= \ell \llbracket V \rrbracket \end{aligned}$$

Curried CPS for handlers

With handlers there are two contexts: a *pure* and an *effect* context.

Idea: implicit stack of alternating pure and effect continuations.

$$\begin{aligned} \llbracket \mathbf{do} \ell V \rrbracket &= \lambda k. \lambda h. h (\ell \langle \llbracket V \rrbracket, \lambda x. k \times h \rangle) \\ \llbracket \mathbf{handle} M \mathbf{with} H \rrbracket &= \llbracket M \rrbracket \llbracket H^{\text{ret}} \rrbracket \llbracket H^{\text{ops}} \rrbracket \\ \llbracket \{\mathbf{return} x \mapsto N\} \rrbracket &= \lambda x. \lambda h. \llbracket N \rrbracket \\ \llbracket \{\ell p r \mapsto N_\ell\}_{\ell \in \mathcal{L}} \rrbracket &= \lambda z. \mathbf{case} z \{ (\ell \langle p, r \rangle \mapsto \llbracket N_\ell \rrbracket)_{\ell \in \mathcal{L}}; y \mapsto M_{\text{forward}} \} \\ M_{\text{forward}} &= \lambda k'. \lambda h'. \mathbf{vmap} (\lambda \langle p, r \rangle k. k \langle p, \lambda x. r \times k' h' \rangle) y h' \\ \top \llbracket M \rrbracket &= \llbracket M \rrbracket (\lambda x. \lambda h. x) (\lambda z. \mathbf{absurd} z) \end{aligned}$$

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$$\llbracket \mathbf{handle} M \mathbf{with} H \rrbracket = \llbracket M \rrbracket \llbracket H^{\mathbf{ret}} \rrbracket \llbracket H^{\mathbf{ops}} \rrbracket$$

$$\llbracket \{\mathbf{return} \ x \mapsto N\} \rrbracket = \lambda x. \lambda h. \llbracket N \rrbracket$$

$$\llbracket \{\ell \ p \ r \mapsto N_\ell\}_{\ell \in \mathcal{L}} \rrbracket = \lambda z. \mathbf{case} \ z \ \{ (\ell \langle p, r \rangle \mapsto \llbracket N_\ell \rrbracket)_{\ell \in \mathcal{L}}; y \mapsto M_{\mathbf{forward}} \}$$

$$M_{\mathbf{forward}} = \lambda k'. \lambda h'. \mathbf{vmap} (\lambda \langle p, r \rangle k. k \langle p, \lambda x. r \ x \ k' \ h' \rangle) y \ h'$$

$$\top \llbracket M \rrbracket = \llbracket M \rrbracket (\lambda x. \lambda h. x) (\lambda z. \mathbf{absurd} \ z)$$

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Problem: $\llbracket - \rrbracket$ yields administrative redexes and is not properly tail-recursive!

$$\top \llbracket \mathbf{return} \langle \rangle \rrbracket = (\lambda k. k \langle \rangle) (\lambda x. \lambda h. x) (\lambda z. \mathbf{absurd} z)$$

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Idea: make the continuation stack explicit (Materzok and Biernacki 2012).

$$\llbracket \mathbf{return} \ V \rrbracket = \lambda(k :: ks).k \llbracket V \rrbracket ks$$

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But $\llbracket - \rrbracket$ still yields the administrative redexes

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Higher-order CPS for handlers (I)

Idea: adopt a two level λ -calculus (Danvy and Filinski 1990; Danvy and Nielsen 2003) with two kinds of continuations

- Static continuations κ
- Dynamic continuations k

Correspondingly, two kinds of reductions

- Static reductions at translation time
- Dynamic reductions at run-time

Move between levels using reflection (\uparrow) and reification (\downarrow)

$$\begin{aligned}\downarrow\uparrow V &= V \\ \downarrow(V \text{ :: } VS) &= V \text{ :: } \downarrow VS\end{aligned}$$

Higher-order CPS for handlers (II)

Two kinds of reductions: static and dynamic.

$$\begin{aligned} \llbracket \text{return } V \rrbracket &= \bar{\lambda} \kappa \ :: \ \kappa S. \kappa \ @ \ \llbracket V \rrbracket \ @ \ \downarrow \kappa S \\ \llbracket \text{let } x \leftarrow M \text{ in } N \rrbracket &= \bar{\lambda} \kappa \ :: \ \kappa S. \llbracket M \rrbracket \ @ \ ((\lambda x \text{ ks}. \llbracket N \rrbracket \ @ \ (\kappa \ :: \ \uparrow \text{ks})) \ :: \ \kappa S) \\ \llbracket \text{do } \ell \ V \rrbracket &= \bar{\lambda} \kappa \ :: \ \eta \ :: \ \kappa S. \eta \ @ \ (\ell \ \langle \llbracket V \rrbracket, \eta \ :: \ \kappa \ :: \ \rangle) \ @ \ \downarrow \kappa S \\ \llbracket \text{handle } M \text{ with } H \rrbracket &= \bar{\lambda} \kappa S. \llbracket M \rrbracket \ @ \ (\llbracket H^{\text{ret}} \rrbracket \ :: \ \llbracket H^{\text{ops}} \rrbracket \ :: \ \kappa S) \\ \llbracket \{\text{return } x \mapsto N\} \rrbracket &= \lambda x \text{ ks}. \text{let } (h \ :: \ \text{ks}') = \text{ks} \text{ in } \llbracket N \rrbracket \ @ \ \uparrow \text{ks}' \\ \llbracket \{(\ell \ p \ r \mapsto N_\ell)_{\ell \in \mathcal{L}}\} \rrbracket &= \lambda z \text{ ks}. \text{case } z \ \{ (\ell \ \langle p, s \rangle \mapsto \text{let } r = \text{fun } s \text{ in } \llbracket N_\ell \rrbracket \ @ \ \uparrow \text{ks})_{\ell \in \mathcal{L}}; \\ &\quad y \mapsto M_{\text{forward}} \} \\ M_{\text{forward}} &= \text{let } (k' \ :: \ h' \ :: \ \text{ks}') = \text{ks} \text{ in} \\ &\quad \text{vmap } (\lambda \langle p, s \rangle (k \ :: \ \text{ks}). k \ \langle p, h' \ :: \ k' \ :: \ s \rangle \text{ks}) \ y \ \text{ks}' \\ \top \llbracket M \rrbracket &= \llbracket M \rrbracket \ @ \ ((\lambda x \text{ ks}. x) \ :: \ (\lambda z \text{ ks}. \text{absurd } z) \ :: \ \uparrow \langle \rangle) \end{aligned}$$

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Two kinds of reductions: static and dynamic.

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$$\llbracket \text{handle } M \text{ with } H \rrbracket = \bar{\lambda} \kappa S. \llbracket M \rrbracket @ (\llbracket H^{\text{ret}} \rrbracket \overline{\overline{\llbracket H^{\text{ops}} \rrbracket \overline{\overline{\kappa S}}}}$$

$$\llbracket \{\text{return } x \mapsto N\} \rrbracket = \lambda x \text{ ks. } \text{let } (h \overline{\overline{\kappa S'}} = \text{ks} \text{ in } \llbracket N \rrbracket @ \uparrow \text{ks}'$$

$$\llbracket \{(\ell \text{ } p \text{ } r \mapsto N_\ell)_{\ell \in \mathcal{L}}\} \rrbracket = \lambda z \text{ ks. } \text{case } z \{ (\ell \langle p, s \rangle \mapsto \text{let } r = \text{fun } s \text{ in } \llbracket N_\ell \rrbracket @ \uparrow \text{ks})_{\ell \in \mathcal{L}};$$
$$y \mapsto M_{\text{forward}} \}$$

$$M_{\text{forward}} = \text{let } (k' \overline{\overline{h'}} \overline{\overline{\kappa S'}} = \text{ks} \text{ in}$$

$$\text{vmap } (\lambda \langle p, s \rangle (k \overline{\overline{\kappa S}}). k \langle p, h' \overline{\overline{k'}} \overline{\overline{s}} \rangle \text{ks}) y \text{ks}'$$

$$\top \llbracket M \rrbracket = \llbracket M \rrbracket @ ((\lambda x \text{ ks. } x) \overline{\overline{(\lambda z \text{ ks. } \text{absurd } z) \overline{\overline{\uparrow \square}}}})$$

Higher-order CPS for handlers (II)

Two kinds of reductions: static and dynamic.

$$\begin{aligned} \llbracket \text{return } V \rrbracket &= \bar{\lambda} \kappa \ :: \ \kappa S. \kappa \ @ \llbracket V \rrbracket \ @ \downarrow \kappa S \\ \llbracket \text{let } x \leftarrow M \text{ in } N \rrbracket &= \bar{\lambda} \kappa \ :: \ \kappa S. \llbracket M \rrbracket \ @ \ ((\lambda x \text{ ks}. \llbracket N \rrbracket \ @ \ (\kappa \ :: \ \uparrow \text{ks})) \ :: \ \kappa S) \\ \llbracket \text{do } \ell \ V \rrbracket &= \bar{\lambda} \kappa \ :: \ \eta \ :: \ \kappa S. \eta \ @ \ (\ell \ \langle \llbracket V \rrbracket, \eta \ :: \ \kappa \ :: \ \rangle) \ @ \ \downarrow \kappa S \\ \llbracket \text{handle } M \text{ with } H \rrbracket &= \bar{\lambda} \kappa S. \llbracket M \rrbracket \ @ \ ((H^{\text{ret}} \ :: \ [H^{\text{ops}}] \ :: \ \kappa S) \\ \llbracket \{\text{return } x \mapsto N\} \rrbracket &= \lambda x \text{ ks}. \text{let } (h \ :: \ \text{ks}') = \text{ks} \text{ in } \llbracket N \rrbracket \ @ \ \uparrow \text{ks}' \\ \llbracket \{(\ell \ p \ r \mapsto N_\ell)_{\ell \in \mathcal{L}}\} \rrbracket &= \lambda z \text{ ks}. \text{case } z \ \{ (\ell \ \langle p, s \rangle \mapsto \text{let } r = \text{fun } s \text{ in } \llbracket N_\ell \rrbracket \ @ \ \uparrow \text{ks})_{\ell \in \mathcal{L}}; \\ &\quad y \mapsto M_{\text{forward}} \} \\ M_{\text{forward}} &= \text{let } (k' \ :: \ h' \ :: \ \text{ks}') = \text{ks} \text{ in} \\ &\quad \text{vmap } (\lambda \langle p, s \rangle (k \ :: \ \text{ks}). k \ \langle p, h' \ :: \ k' \ :: \ s \rangle \text{ks}) \ y \ \text{ks}' \\ \top \llbracket M \rrbracket &= \llbracket M \rrbracket \ @ \ ((\lambda x \text{ ks}. x) \ :: \ (\lambda z \text{ ks}. \text{absurd } z) \ :: \ \uparrow \langle \rangle) \end{aligned}$$

$\llbracket - \rrbracket$ produces no statically eliminatable administrative redexes

$$\top \llbracket \text{return } \langle \rangle \rrbracket = (\bar{\lambda} \kappa \ :: \ \kappa S. \kappa \ @ \ \langle \rangle \ @ \ \downarrow \kappa) \ @ \ ((\lambda x \text{ ks}. x) \ :: \ (\lambda z \text{ ks}. \text{absurd } z) \ :: \ \uparrow \langle \rangle)$$

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Definition (Translation of evaluation contexts)

$$\begin{aligned}
 \llbracket [] \rrbracket &= \bar{\lambda}_{\kappa S}. \kappa S \\
 \llbracket \text{let } x \leftarrow \mathcal{E} \text{ in } N \rrbracket &= \bar{\lambda}_{\kappa} \bar{\lambda}_{\kappa S}. \llbracket \mathcal{E} \rrbracket \bar{\text{@}} ((\lambda x \kappa S. \llbracket N \rrbracket \bar{\text{@}} (k \bar{\text{::}} \uparrow \kappa S)) \bar{\text{::}} \kappa S) \\
 \llbracket \text{handle } \mathcal{E} \text{ with } H \rrbracket &= \bar{\lambda}_{\kappa S}. \llbracket \mathcal{E} \rrbracket \bar{\text{@}} (\llbracket H^{\text{ret}} \rrbracket \bar{\text{::}} \llbracket H^{\text{ops}} \rrbracket \bar{\text{::}} \kappa S)
 \end{aligned}$$

Lemma (Decomposition)

The characteristic property of the CPS translation on evaluation contexts

$$\llbracket \mathcal{E}[M] \rrbracket \bar{\text{@}} (V \bar{\text{::}} VS) = \llbracket M \rrbracket \bar{\text{@}} (\llbracket \mathcal{E} \rrbracket \bar{\text{@}} (V \bar{\text{::}} VS))$$

Theorem (Simulation)

If $M \rightsquigarrow N$ then $\top \llbracket M \rrbracket \rightsquigarrow^+ \rightsquigarrow_a^ \top \llbracket N \rrbracket$.*

Conclusions

In summary

- handlers provide a modular abstraction for user-defined computational effects,
- first full CPS translations for handlers,
- the first-order curried CPS is neat, but impractical,
- and the first-order uncurried CPS is naïve,
- refectified by a higher-order uncurried CPS.

Full details in the paper along with

- a discussion on the implementation of the higher-order CPS,
- a sketch of a typed higher-order CPS translation,
- an adaptation to accommodate *shallow* handlers,
- and a discussion on adapting the translation to a language like OCaml.

An implementation is available in Links

<https://github.com/links-lang/links>

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